



### **Policy on Transfer of Trades :**

In case any trade goes through on wrong client code, we will follow the below steps:

1. At the time of Wrong Client code trade entry, it will be checked & verified as to who has committed mistake, how this mistake has happened. It will be brought to the notice of the management and after obtaining approval, the code changes will be quickly carried out.
2. We will shift such wrong trade to the error account of Raaj Ventures.
3. We will disclose such client code, classified as 'Error Account' to the Exchange at the time of UCC upload.
4. We will square off such wrong position on subsequent trading days.
5. We keep record of code changes and mistakes made by repetitive terminal operator to ensure such mistakes are minimized.
6. We periodically review the trades flowing to the 'Error Account'.